

Software Maintenance Service Level

for **ChronoScan Enterprise**

This Appendix forms an integral part of the Sale, Service, Sale and Service, or Lease and Service Contract and/or Software Licence and Maintenance Contract and/or Software Maintenance Contract (hereinafter referred to in general as the **"individual contract"**) concluded between GRAPHAX AG (hereinafter referred to as **"GRAPHAX"**) and the CUSTOMER.

1. Purpose and content

The CUSTOMER may agree the provision by GRAPHAX of special maintenance and support services for the **ChronoScan Enterprise software** listed in the individual contract (hereinafter also referred to in general as **"ChronoScan Enterprise software maintenance"**).

This Appendix sets out the contractually agreed software maintenance and support services to be provided by GRAPHAX based on the CUSTOMER's chosen service level for the ChronoScan Enterprise software (in accordance with Sections 3 and 4 below).

If a fault arises (in accordance with the definition in Section 2 below), GRAPHAX shall provide the maintenance and support services based on the provisions below.

2. Faults

A **fault** requiring intervention by GRAPHAX under the ChronoScan Enterprise software maintenance terms arises if all or part of the ChronoScan Enterprise software becomes incapable of use due to a software error or incorrect setting or configuration of the ChronoScan Enterprise software, or if an ChronoScan Enterprise software fault causes any affected GRAPHAX devices (hereinafter referred to as the **"hardware"**) to be incapable of use, or only capable of limited use.

3. Service level for the ChronoScan Enterprise software

In the individual contract for the ChronoScan Enterprise software, GRAPHAX and the CUSTOMER have agreed a service level (hereinafter referred to as the **"ChronoScan Enterprise Service Level"**), with a distinction being made between the following scenarios:

1. The software maintenance for the ChronoScan Enterprise software is agreed in the individual contract for GRAPHAX devices: in these cases the service level that applies to the ChronoScan Enterprise software is the same as that agreed for the hardware (Hardware Service Level = ChronoScan Enterprise Service Level).
2. The software maintenance for the ChronoScan Enterprise software is agreed in an individual contract for software (= Software Licence and Maintenance Contract or Software Maintenance Contract): in these cases the service level that applies to the ChronoScan Enterprise software is the same as that agreed in the Software Licence and Maintenance Contract or Software Maintenance Contract (Hardware Service Level ≠ ChronoScan Enterprise Service Level).

The relevant applicable hours of availability, reaction times and intervention times for the selected ChronoScan Enterprise Service Level, and the definition of terms, are specified in Section 4 below.

4. Definition of the ChronoScan Enterprise Service Level/Definition of Terms

4.1 Definition of the ChronoScan Enterprise Service Level

Service Level ChronoScan Enterprise	Hours of availability	Reaction time	Intervention time
Basic	Hours of availability: Monday – Friday, from 07.30 - 12.00 and 13.00 - 17.00 hrs. This does not include statutory public holidays at the location of the software.	Next working day	Next working day
Basic Plus	Hours of availability: Monday – Friday, from 07.30 - 12.00 and 13.00 - 17.00 hrs. This does not include statutory public holidays at the location of the software.	4 hours	8 hours
Premium	Hours of availability: Monday – Friday, from 07.30 - 12.00 and 13.00 - 17.00 hrs. This does not include statutory public holidays at the location of the software.	2 hours	4 hours

4.2 Definition of terms

Hours of availability: The CUSTOMER may report faults during office hours, Monday – Friday, from 07.30 - 12.00 and 13.00 - 17.00 hrs., except for statutory public holidays at the location where the software is installed. Faults must be reported by telephone to the GRAPHAX Service Centre; the telephone number is shown on a sticker on the device. A fault notification is deemed to have been received by GRAPHAX when a service representative has accepted the telephone call.

Reaction time: Return call by a GRAPHAX service representative following receipt of a fault notification, to offer telephone troubleshooting/assistance and/or to arrange an appointment.

Intervention time: The intervention time is the period between the return call by the service representative and the time at which GRAPHAX provides “On-system Support”. This support may be remote or on-site.

5. ChronoScan Enterprise software maintenance

5.1 General

The CUSTOMER is aware that the ChronoScan Enterprise software, in respect of which maintenance and support services are provided, is software produced by a third party (hereinafter referred to as the “**software manufacturer**”). Any warranties and guarantees by the software manufacturer regarding the functionality of the ChronoScan Enterprise software are governed by the contract between the CUSTOMER and the software manufacturer. GRAPHAX offers no warranty and does not guarantee the fault-free functionality of the ChronoScan Enterprise software and does not accept liability beyond the maintenance and support services listed below for the rectification of faults with the ChronoScan Enterprise software.

5.2 Maintenance and support services included

By agreeing to maintenance of the ChronoScan Enterprise software in the individual contract, GRAPHAX undertakes solely to carry out the maintenance and support services to the ChronoScan Enterprise software listed in this Subsection. The price for the ChronoScan Enterprise software maintenance is agreed in the individual contract.

The full range of maintenance and support services provided by GRAPHAX in the case of a fault as covered by the agreed ChronoScan Enterprise Service Level are:

- The supply of patches or bug fixes, on physical media or online, for the rectification of program errors;
- The supply of service packs, on physical media or online, for the extended rectification of errors;
- Product-related maintenance and support services, provided the fault was not caused by the CUSTOMER:
 - The analysis and isolation of problems (GRAPHAX checks whether there is a fault and whether this relates to hardware or software)
 - Action for the rectification of faults (GRAPHAX attempts to rectify the fault)
 - Escalation management to the software manufacturer (if GRAPHAX is unable to rectify the fault, GRAPHAX will coordinate with the software manufacturer and organise the rectification of the fault by

the software manufacturer, or clarify the situation with the software manufacturer on behalf of the CUSTOMER, as necessary)

The full range of maintenance and support services provided by GRAPHAX regardless of the existence of a fault as covered by the agreed ChronoScan Enterprise Service Level are:

- The supply of minor-release updates of the ChronoScan Enterprise software and the NUANCE OCR Plug-in (e.g. from Version 2.4 to 2.5), on physical media or online;
- The supply of major-release upgrades of the ChronoScan Enterprise software (e.g. from Version 2.4 to 3.0), on physical media or online;
- The provision of updates to the software documentation in electronic format;
- The supply of major-release upgrades of the NUANCE OCR Plug-in (z. B. Version 2.4 auf 3.0), on physical media or online, as long as this service is included in the software manufacturers software maintenance.

5.3 Maintenance and support services not included

The maintenance and support services not included in the GRAPHAX obligations relating to the ChronoScan Enterprise software maintenance, and therefore not included in the price for this software maintenance, include:

- Maintenance and support services that are necessary because the CUSTOMER has failed to observe any of the manufacturer's instructions or recommendations when installing or using the software (e.g. change of the basic language (from English to another language), modification of the IT infrastructure in contravention of the software manufacturer's specifications) or because the CUSTOMER has caused the fault;
- Maintenance and support services that are necessary as a result of an intervention in the software program code or as a result of changes to the system settings;
- The programming and implementation of new software functions at the CUSTOMER's request;
- The implementation or checking of backups;
- End user support on the CUSTOMER's premises;
- Configuration support;
- Support for new installations;
- The installation of updates and upgrades;
- Supply and advice services;
- Instruction and training.

6. General provisions

- a. The CUSTOMER shall be invoiced separately for answering queries over the telephone that are not connected with a fault or not connected with included services (see Section 2 and Subsection 5.3). The CUSTOMER is therefore expressly recommended to consult the software data sheet or the software manufacturer's instructions before contacting the GRAPHAX Service Centre.
- b. The CUSTOMER is obliged to nominate and notify GRAPHAX of two in-house competent contacts, who will be available in the case of faults for the exchange of the necessary information between the CUSTOMER and GRAPHAX, and who are authorised to notify GRAPHAX of defects and request maintenance and support services from GRAPHAX.
- c. The CUSTOMER is obliged to describe any faults that occur truthfully, giving the correct details, and to make every reasonable effort to assist the Service Centre representative with giving troubleshooting and fault rectification instructions over the telephone (e.g. by stating the error code displayed on the device).
- d. The cost of unnecessary interventions by GRAPHAX for the provision of maintenance and support services based on incorrect information from the CUSTOMER, or for other reasons attributable to the CUSTOMER, shall be invoiced separately plus travelling expenses at cost.
- e. GRAPHAX does not guarantee to the CUSTOMER a specific period within which a fault will be rectified by GRAPHAX (repair time).
- f. GRAPHAX may provide the maintenance and support services remotely or on-site. If the CUSTOMER refuses remote intervention by GRAPHAX, GRAPHAX shall be entitled to invoice the CUSTOMER with the additional expenditure incurred by providing on-site support.
- g. Maintenance and support services outside the specified service hours, agreed with the CUSTOMER in individual cases, shall be invoiced to the CUSTOMER separately (labour and travel expenses).
- h. The CUSTOMER does not have a claim to replacements during the repair period.

- i. Unless specified to the contrary, maintenance and support services shall only be provided at the agreed location for the equipment covered by the service agreement, as shown in the individual contract.
- j. Where the CUSTOMER agrees to the provision of maintenance and support services, he also agrees that these services will be provided only on a whole-installation basis (e.g. for all integrated devices, for all users, for all client and server facilities, etc.).